2016

| Type | Description                                   | Original<br>Trans<br>Code |               |            |           | Person<br>to<br>Person<br>Trans Code | Premises<br>to<br>Premises<br>Trans Code | Exchange<br>Trans<br>Code | Drop Partner &<br>Fiduciary<br>Transfer Trans<br>Code | Stock & Merge<br>Transfer<br>Trans Code | Multiple<br>Stock & Merge<br>Transfer<br>Trans Code |
|------|---|---------------------------|---------------|------------|-----------|--------------------------------------|--|---------------------------|---|---|---|
| 01   | Beer Manufacturer                             | ORI<br>\$100              |               |            |           | PER A<br>\$934                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 01   | Beer Manufacturer DUPLICATE                   | n/a                       | DOR A<br>\$87 |            |           | PER 9<br>\$59                        | PRM<br>\$100                             | n/a                       | DPR 1, FID 1<br>\$50                                  | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 02   | Winegrower                                    | ORI<br>\$100              |               | 0 to       | 5,000     | PER A<br>\$43                        | PRM<br>\$100                             | n/a                       | DPR A, FID A<br>\$43                                  | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 02   | Winegrower                                    | ORI<br>\$100              |               | 5,001 to   | 20,000    | PER A<br>\$80                        | PRM<br>\$100                             | n/a                       | DPR 1, FID 1<br>\$50                                  | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 02   | Winegrower                                    | ORI<br>\$100              |               | 20,001 to  | 100,000   | PER A<br>\$146                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 02   | Winegrower                                    | ORI<br>\$100              |               | 100,001 to | 200,000   | PER A<br>\$192                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 02   | Winegrower                                    | ORI<br>\$100              |               | 200,001 to | 1,000,000 | PER A<br>\$284                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
|      | For each 1M or portion over                   | 1M add >                  | \$273         |            |           | \$185                                |  |                           |   |   |   |
| 02   | Winegrower DUPLICATE (create new file number) | n/a                       | DOR A<br>\$87 |            |           | PER 9<br>\$59                        | PRM<br>\$100                             | n/a                       | DPR 1, FID 1<br>\$50                                  | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 03   | Brandy Manufacturer                           | ORI<br>\$100              |               |            |           | PER A<br>\$190                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 03   | Brandy Manufacturer DUPLICATE                 | ORI<br>\$100              |               |            |           | PER A<br>\$174                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 04   | Distilled Spirits Manufacturer                | ORI<br>\$100              |               |            |           | PER A<br>\$311                       | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$50                                 | STK A, MBR A<br>\$100                   | STM A, MBM A<br>\$100                               |
| 05   | Distilled Spirits Manufacturer<br>Agent       | ORI<br>\$100              |               |            |           | PER A<br>\$311                       | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$50                                 | STK A, MBR A<br>\$100                   | STM A, MBM A<br>\$100                               |

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|------|--|---------------------------|---------------|--------------------------------------|--|---------------------------|---|---|---|
| 06   | Still  | ORI<br>\$100              |               | PER A<br>\$47                        | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$47                                 | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 07   | Rectifier  | ORI<br>\$100              |               | PER A<br>\$311                       | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$50                                 | STK A, MBR A<br>\$100                   | STM A, MBM A<br>\$100                               |
| 08   | Wine Rectifier   | ORI<br>\$100              |               | PER A<br>\$311                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 09   | Beer & Wine Importer DUPLICATE of: 01,02,08,17,22,23       | n/a                       | DOR A<br>\$74 | PER A<br>\$47                        | PRM 1<br>\$100                           | n/a                       | DPR 3, FID 3<br>\$0                                   | STK 1, MBR 1<br>\$100                   | STM 1, MBM 1<br>\$100                               |
| 10   | Beer & Wine Importer's General                             | ORI<br>\$100              |               | PER A<br>\$207                       | PRM<br>\$100                             | n/a                       | DPR 3, FID 3<br>\$0                                   | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 11   | Brandy Importer DUPLICATE of: 02,03,04,05,07,18,24         | n/a                       | DOR A<br>\$74 | PER A<br>\$47                        | PRM 1<br>\$100                           | n/a                       | DPR 3, FID 3<br>\$0                                   | STK 1, MBR 1<br>\$100                   | STM 1, MBM 1<br>\$100                               |
| 12   | Distilled Spirits Importer DUPLICATE of: 04,05,07,18,19,24 | n/a                       | DOR A<br>\$74 | PER A<br>\$47                        | PRM 1<br>\$100                           | n/a                       | DPR 3, FID 3<br>\$0                                   | STK 1, MBR 1<br>\$100                   | STM 1, MBM 1<br>\$100                               |
| 13   | Distilled Spirits Importer General                         | ORI<br>\$100              |               | PER A<br>\$311                       | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$50                                 | STK A, MBR A<br>\$100                   | STM A, MBM A<br>\$100                               |
| 14   | Public Warehouse (copy = \$1.00, enter as DOR 1)           | ORI<br>\$100              |               | PER A<br>\$47                        | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$47                                 | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 15   | Customs Broker   | ORI<br>\$100              |               | PER A<br>\$47                        | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$47                                 | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 16   | Wine Broker  | ORI<br>\$100              |               | PER A<br>\$63                        | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 17   | Beer & Wine Wholesaler                                     | ORI<br>\$100              |               | PER A<br>\$207                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |

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|------|--|---------------------------|----------------------|------------|-----------|--------------------------------------|--|---------------------------|---|---|---|
| 18   | Distilled Spirits Wholesaler           | ORI<br>\$100              | ANN A<br>\$514       |            |           | PER A<br>\$311                       | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$50                                 | STK A, MBR A<br>\$100                   | STM A, MBM A<br>\$100                               |
| 19   | Industrial Alcohol Dealer              | ORI<br>\$100              | ANN A<br>\$98        |            |           | PER A<br>\$63                        | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 20   | Off Sale Beer & Wine                   | ORI<br>\$100              | ANN A<br>\$254       |            |           | PER<br>\$50                          | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 21   | Off Sale General                       | ORI<br>\$13,800           | ANN A<br>\$582       |            |           | PER A<br>\$1250                      | PRM<br>\$100                             | n/a                       | DPR A , FID A<br>\$50                                 | STK A, MBR A<br>\$800                   | STM A, MBM A<br>\$100                               |
| 22   | Wineblender                            | ORI<br>\$100              | ANN A<br>\$69        | 0 to       | 5,000     | PER A<br>\$43                        | PRM<br>\$100                             | n/a                       | DPR A, FID A<br>\$43                                  | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 22   | Wineblender                            | ORI<br>\$100              | ANN A<br>\$123       | 5,001 to   | 20,000    | PER A<br>\$80                        | PRM<br>\$100                             | n/a                       | DPR 1, FID 1<br>\$50                                  | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 22   | Wineblender                            | ORI<br>\$100              | ANN A<br>\$219       | 20,001 to  | 100,000   | PER A<br>\$146                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 22   | Wineblender                            | ORI<br>\$100              | ANN A<br>\$287       | 100,001 to | 200,000   | PER A<br>\$192                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 22   | Wineblender                            | ORI<br>\$100              | ANN A<br>\$423       | 200,001 to | 1,000,000 | PER A<br>\$284                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
|      | For each 1M or portion over            | 1M add >                  | \$273                |            |           | \$185                                |  |                           |   |   |   |
| 23   | Sm. Beer Mfr. less than 60,001 barrels | ORI<br>\$100              | ANN A<br>\$171       |            |           | PER A<br>\$113                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 23   | Small Beer Mfr. DUPLICATE              | n/a                       | DOR A<br>\$87        |            |           | PER 9<br>\$59                        | PRM<br>\$100                             | n/a                       | DPR 1, FID 1<br>\$50                                  | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 24   | Distilled Spirits Rectifiers General   | ORI<br>\$100              | ANN A<br>\$462       |            |           | PER A<br>\$311                       | PRM<br>\$100                             | n/a                       | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |

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| Туре | Description  | Original<br>Trans<br>Code |               |                | to<br>Premises | 3 - | Drop Partner &<br>Fiduciary<br>Transfer Trans<br>Code | Stock & Merge<br>Transfer<br>Trans Code | Multiple<br>Stock & Merge<br>Transfer<br>Trans Code |
|------|--|---------------------------|---------------|----------------|----------------|-----|---|---|---|
| 25   | California Brandy Wholesaler   | ORI<br>\$100              |               |                | PRM<br>\$100   | n/a | DPR , FID<br>\$50                                     | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 26   | Out-of-State Beer Mfr. Certificate   | n/a                       | ANN A<br>\$69 |                | n/a            | n/a | n/a   | n/a                                     | n/a   |
| 27   | California Winegrowers Agent   | ORI<br>\$100              |               |                | PRM<br>\$100   | n/a | DPR A , FID A<br>\$50                                 | STK A, MBR A<br>\$100                   | STM A, MBM A<br>\$100                               |
| 28   | Out-of-State Distilled Spirits<br>Shipper  | n/a                       | ANN A<br>\$69 |                | n/a            | n/a | n/a   | n/a                                     | n/a   |
| 29   | Wine Grape Grower Storage  | ORI<br>\$100              |               |                | PRM<br>\$100   | n/a | DPR 9, FID 9<br>\$50                                  | STK, MBR<br>\$100                       | STM, MBM<br>\$100                                   |
| 74   | Craft Distiller  | ORI<br>\$100              |               | PER A<br>\$311 | PRM<br>\$100   | n/a | DPR A, FID A<br>\$50                                  | STK A, MBR A<br>\$100                   | STM A, MBM A<br>\$100                               |
| 79   | Certified Farmers' Mkt Sale's Permit<br>DUPLICATE of: 02<br>(create new file number) | n/a                       | DOR A<br>\$50 |                | n/a            | n/a | n/a   | n/a                                     | n/a   |
| 81   | Wine Sales Event Permit DUPLICATE of: 02 (enter on MASTER number)                    | 7/0                       | DOR 4<br>\$50 | PER 9<br>\$35  |                | n/a | DPR 9, FID 9<br>\$35                                  | STK 9, MBR 9<br>\$100                   | STM 9, MBM 9<br>\$100                               |
|      | Direct Shipper's Permit **REN 5  | n/a<br>n/a                | ANN 5         |                |                |     | n/a   | n/a                                     | n/a   |
|      | Certified Farmers' Market Beer Sales Permit  | n/a                       | DOR A         |                |                | n/a | n/a   | n/a                                     | 11/ (1  |
|      | Limited Off-Sale Wine License  | ORI<br>\$ 100             | ANN A         | PER A          | PRM            |     | DPR A, FID A<br>\$50                                  | STK A, MBR A<br>\$100                   | STM A, MBM A<br>\$100                               |
| 86   | Instructional Tasting License  | ORI<br>\$300              |               | PER A<br>\$150 | PRM<br>\$100   | n/a | DPR A, FID A<br>\$50                                  | STK A, MBR A<br>\$150                   | STM A, MBM A<br>\$100                               |

|     |             |          |            | Person     | Premises   |          | Drop Partner  | *             | Multiple      |
|-----|-------------|----------|------------|------------|------------|----------|---------------|---------------|---------------|
|     |             | Original |            | to         | to         | Exchange | Fiduciar      | Stock & Merge | Stock & Merge |
| Φ   |             | Trans    | Annual     | Person     | Premises   | Trans    | Transfer Tran | Transfer      | Transfer      |
| Тур | Description | Code     | Trans Code | Trans Code | Trans Code | Code     | Cod           | e Trans Code  | Trans Code    |